

FORMALITIES LETTER



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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/525,361	03/15/2000	David Mack	A-67860-3/RMS/DAV

Flehr Hohbach Test Albritton & Herbert LLP
 Suite 3400 Four Embarcadero Center
 San Francisco, CA 94111-4187



Date Mailed: 05/15/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1554.
 - \$306 for 17 total claims over 20.
 - \$1248 for 16 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2374.

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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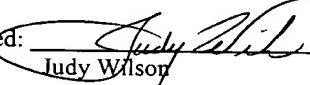
Sector #
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
David Mack, et al.)
Serial No.: 09/525,361)
Filed: March 15, 2000)
For: NOVEL METHODS OF)
DIAGNOSING AND TREATING)
BREAST CANCER,)
COMPOSITIONS, AND METHODS)
OF SCREENING FOR BREAST)
CANCER MODULATORS)

)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on August 15, 2000.

Signed:  Date: August 15, 2000
Judy Wilson

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
BOX MISSING PART
Washington, D. C. 20231

Sir:

In response to the Notification of Missing Requirements dated May 15, 2000, enclosed herewith is the executed Declaration and a copy of the Notification. Also enclosed is an executed Power of Attorney by Assignee, executed Verified Statement Claiming Small Entity Status and a one month extension of time.

Serial No.: 09/325,361
Filed: March 15, 2000

Enclosed is our check in the amount of \$1242.00 (\$345.00 filing fee, \$777.00 extra claim fee, \$55.00 one month extension of time, \$65.00 for the surcharge for late filing of the Declaration). Please debit any underpayment or credit any overpayment to our Deposit Account No. 06-1300 (Order No. A-67860-3/RMS/DAV).

Respectfully submitted,

8-15-00
Date

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